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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **SHIGEMATSU, Hisao et al.**

Attention: **Applications Division**

Serial Number: **10/092,526**

Group Art Unit: **2815**

Filed: **March 8, 2002**

**FOR: SEMICONDUCTOR DEVICE AND METHOD FOR FABRICATING THE SAME**

P.T.O. Confirmation No.: 4590

**REQUEST FOR CORRECTED FILING RECEIPT**

Commissioner for Patents  
Washington, D.C. 20231

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Sir:

Please supply the undersigned attorney with a corrected filing receipt for the above-identified application. The undersigned also respectfully requests that the Patent and Trademark Office records be amended to reflect the correction.

In reviewing the official Filing Receipt, we noted an error in that the Attorney Docket Number is incorrect ("981308A" should read as - 981380A- -). A copy of the Application Transmittal is enclosed which indicates the correct information. We are enclosing a copy of the filing receipt with the corrections highlighted.

In the event that any fees are required in connection with this paper, please charge our Deposit Account No. 01-2340.

Respectfully Submitted,  
ARMSTRONG, WESTERMAN & HATTORI, LLP

  
Stephen G. Adrian  
Attorney for Applicants  
Reg. No. 32,878

SGA/srb  
Atty. Docket No. **981380A**  
Suite 1000, 1725 K Street, N.W.  
Washington, D.C. 20006  
(202) 659-2930



**23850**

PATENT TRADEMARK OFFICE

Enclosures: Official Filing Receipt ; Copy of Application Transmittal

UTILITY PATENT  
APPLICATION TRANSMITTAL

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney  
Docket No.

981380A

Total Pages

First Named Inventor or Application Identifier

Hisao SHIGEMATSU et al.

Express Mail Label No.

PAGE 1 OF 3

Check Box, if applicable [ ] Duplicate

APPLICATION ELEMENTS FOR:  
SEMICONDUCTOR DEVICE AND METHOD FOR  
FABRICATING THE SAME

ADDRESS TO: Commissioner for Patents  
BOX PATENT APPLICATIONS  
Washington, D.C. 20231

1. [X] Fee Transmittal Form (Incorporated within this form)  
(Submit an original and a duplicate for fee processing)
2. [X] Specification Total Pages [32 ]
3. [X] Drawing(s) (35 USC 113) Total Sheets [11 ]
4. [X] Oath or Declaration Total Pages [ 5 ]
  - a. [ ] Newly executed (original or copy)
  - b. [X] Copy from prior application (37 CFR 1.63(d) (for continuation/divisional with Box 17 completed).
    - i. [ ] Deletion of Inventor(s)  
Signed statement attached deleting inventor(s) named in prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. [X] Incorporation by reference (usable if box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. [ ] Microfiche Computer Program (Appendix)
7. [ ] Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. [ ] Computer Readable Copy
  - b. [ ] Paper Copy (identical to computer copy)
  - c. [ ] Statement Verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

8. [X] Assignment to **FUJITSU LIMITED of Kawasaki, Japan** in the prior Application Serial No. **09/191,543**, filed on **November 13, 1998** has been recorded at Reel **9599**, Frame **0735** on **November 13, 1998**.  
**Please publish the assignee data with the application.**
9. [ ] 37 CFR 3.73(b) Statement (when there is an assignee) [X] Power of Attorney

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new provisional applications  
under 37 CFR 1.53(b))

APR 24 2002

Attorney  
Docket No.

981380A

First Named Inventor or Application Identifier

Hisao SHIGEMATSU et al.

PAGE 2 OF 3

10. ☐ English Translation Document (if applicable)
11. ☒ Information Disclosure Statement ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
14. ☐ Small Entity status is claimed.
15. ☒ Claim for Convention Priority ☐ Certified copy of Priority Document(s)
- a. Priority of Japanese application no. 10-118466 filed on April 28, 1998 is claimed under 35 USC 119. The certified copy has been filed in prior application Serial No. 09/191,543 (For Continuing Applications, if applicable).
16. ☐ Other \_\_\_\_\_
17. ☒ If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:
- ☐ Continuation ☒ Division ☐ Continuation-in-part (CIP) of prior application no. 09/191,543
- a. ☒ Please amend the specification by inserting after the title: --This application is a divisional of prior application Serial No. 09/191,543 filed November 13, 1998, which is hereby incorporated by reference --.
- b. ☒ Cancel in this application original claims 1- 12 of the prior application before calculating the filing fee.

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FEE TRANSMITTAL	Number Filed	Number Extra	Rate	Basic Fee
The filing fee is calculated below				\$740.00
Total Claims	14 - 20		x \$18.00	
Independent Claims	1 - 3		x \$84.00	
Multiple Dependent Claims			\$280.00	
Basic Filing Fee				\$740.00
Reduction by 1/2 for small entity				
Fee for recording enclosed Assignment			\$40.00	
TOTAL				\$740.00

UTILITY PATENT  
APPLICATION TRANSMITTAL

(Only for new non-provisional applications  
under 37 CFR 1.53(b))

Attorney Docket No.

981380A

First Named Inventor or Application Identifier

Hisao SHIGEMATSU et al.

PAGE 3 OF 3

[X] A check in the amount of \$ 740.00 is enclosed to cover the filing fee.

[ ] Please charge our Deposit Account No. 01-2340 in the total amount of \$ to cover the filing fee and the \$ assignment recordation fee. A duplicate of this sheet is attached.

[X] The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. 01-2340. A duplicate of this sheet is attached.

18. CORRESPONDENCE ADDRESS:



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SUBMITTED BY

Typed or Printed Name Stephen G. Adrian

Reg. No. 32,878

Signature

*Stephen G. Adrian*  
27173

Date: March 8, 2002



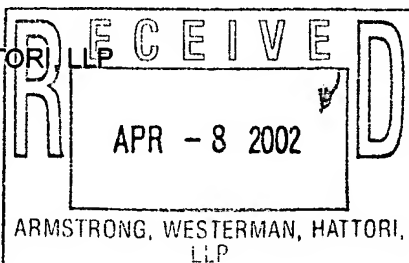
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WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/092,526	03/08/2002	2815	740	981308A 981380A	11	14	1

23850

ARMSTRONG, WESTERMAN & HATTORI, LLP  
1725 K STREET, NW.  
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WASHINGTON, DC 20006



CONFIRMATION NO. 4590

## FILING RECEIPT



\*OC000000007800633\*

Date Mailed: 04/05/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Hisao Shigematsu, Kawasaki-shi, JAPAN;  
Kenji Imanishi, Kawasaki-shi, JAPAN;  
Hitoshi Tanaka, Kawasaki-shi, JAPAN;

## Assignment For Published Patent Application

FUJITSU LIMITED, Kawasaki, JAPAN;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A DIV OF 09/191,543 11/13/1998

## Foreign Applications

JAPAN 10-118466/1998 04/28/1998

If Required, Foreign Filing License Granted 04/04/2002

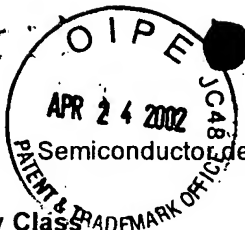
Projected Publication Date: 07/11/2002

Non-Publication Request: No

Early Publication Request: No

Title

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Preliminary Class

257

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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